If I were you... Counterfactuals: functions and typology

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Outline

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1. Definition and examples

Counterfactuals are statements that describe **possible situations in an alternative reality**, often referring to the past, but also with present or future reference.

"Counterfactuals are conditionals concerning hypothetical possibilities."

Typical examples (in conditional clauses):

If you had studied harder, you would not have failed the exam.

If it wasn't for the life jacket, I would have drowned.

If I were you, I wouldn't do it.

Considerable literature on the topic, e.g. Karawani (2014), Qian (2016). See also references.

1. Definition and examples

Less typical examples of counterfactuals (not in conditional clauses):

We should have taken an earlier train!
(But we took the last train, and now we're stranded.)

Don't you realise we could have drowned? (But we survived.)

I should have seen that. (But I didn't.)

I wish I had not been born. (But I was.)

Wouldn't a sunny day be nice? (But it's cold and raining.)

2.1 Pragmatic functions

Usage of counterfactuals:

- reproach: blaming others for missed opportunities, accidents

 If you had not missed that penalty, we would have won!
- realising good fortune: chance encounters, unplanned events If I hadn't met you, I would still be a raskol.
- regret: I should never have gone there.
- frustration: If only I were twenty years younger.
- advice: If I were you, I'd stay home.

2.2 Psychological functions



Counterfactual thinking

Negative: reproach, regret, disappointment. What-if questions, related to e.g. a missed job opportunity or a car accident.

How would the job interview have gone if I had dressed differently?

Positive: an effective teaching tool. A teacher, coach, or mentor, might use prompting language to encourage this type of thinking.

Let's focus on that mistake you made half-way through. What would have been different if you had made a different decision at that point?

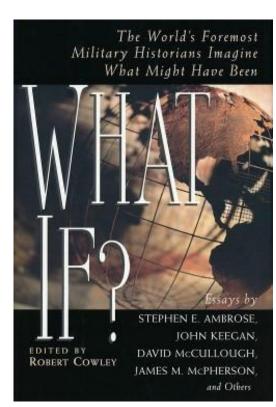
2.3 Literary functions

Counterfactual novels, science fiction, time travel etc.

Your vacation on the moon.

Alternative history: a genre of fiction.

- What if the Allied invasion of Europe had failed in June 1944?
- What if Australia had not pushed PNG towards independence in 1975?
- What if the PNG government had spent more money on education in the past 50 years?

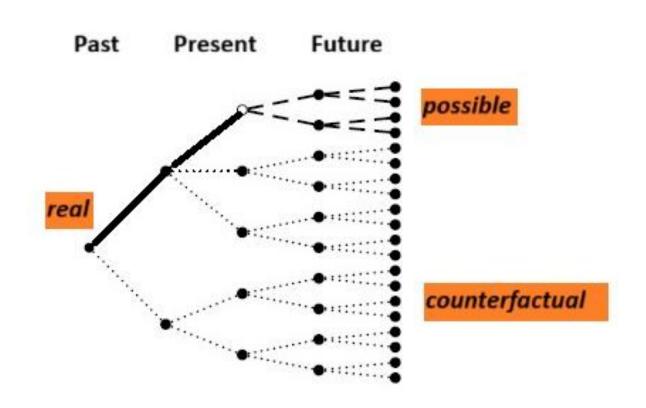


3. Counterfactuals and irrealis

Work by Von Prince (2019, 2022) 'Irrealis is real'.

"The semantic domain of irreality is split into two domains—the possible and the counterfactual."

"An 'irrealis' marker in a given language may refer either to only one of these domains or to both."



4. Counterfactuals in the Bible

Search on would not have in the NIV (18x):

- Lord, if you had been here, my brother would not have died. (John 11:32)
- If the owner of the house had known at what hour the thief was coming, he would not have let his house be broken into. (Luke 12:39)
- If I had cherished sin in my heart, the Lord would not have listened. (Psalm 66:18)

But also others, e.g. *could have* (9x):

• If we had not delayed, we could have gone and returned twice. (Genesis 43:10)

5. Towards a typology: the morphosyntax of counterfactuals

- 5.1 English
- 5.2 Tok Pisin
- 5.3 Bola (West New Britain; Austronesian)
- 5.4 Mauwake (Madang; Papuan [TNG])

5.1 Counterfactuals in English

- a) past reference: past perfect (*had V-ed*) + modal auxiliary *would / could*:
 - (1) If you had studied harder, you would not have failed the exam.
 - (2) If John had been on our team, we could have won.
- b) present reference: simple past (also: subjunctive were)
 - (3) If you had her looks, you would not be here.
 - (4) If I were you, I would not do it.

5.2 Counterfactuals in Tok Pisin

Future marker **bai** + modal auxiliary **inap** 'can, able'.

- (5) Sapos em i bin ren, ating bai mi no inap kam.
 'If it had rained, I would not have come.'
- (6) Sapos em i no bin ren, ating bai mipela inap go long Lae. 'If it had not rained, we would have gone to Lae.'
- (7) Sapos mi olsem yu, mi no inap wokim. 'If I were you, I would not do it.'

5.2 Counterfactuals in Tok Pisin

- (8) Bikpela, sapos yu bin i stap hia, bai brata bilong mi i no inap i dai. 'Lord, if you had been here, my brother would not have died.'

 (John 11:21)
- (9) Sapos em i no man bilong mekim pasin nogut, orait mipela i no inap bringim em i kam long yu.
 - 'If he was not a criminal, we would not have handed him over to you.' (John 18:30)

5.3 Counterfactuals in Bola

Bola [bnp], Austronesian (Meso-Melanesian), West New Britain. (van den Berg and Wiebe 2019)

Counterfactuals marked by **modal particles** bara and gi.

bara: used for weak future, apprehensive, in conditional sentences (both conditional and main clause), past habitual, counterfactuals

gi (variant ge) used for irrealis: simple future, purpose, 'should', habitual conditionals

(10) **Bara** i uka vala ravi, **bara** mete rehi.

MOD 3S NEG rain yesterday MOD 1PA.EX play

'If it hadn't rained yesterday, we would have played.' (elic)

5.3 Counterfactuals in Bola

- (11) Bakovi Dagi, ioe bara o made koea, e kuraba-gu i uka ma man big 2s MOD 2s stay here ART.P d.s.sibling-1s 3s NEG NEG ge mate.

 IRR.3s die

 'Lord, if you had been here, my brother would not have died.' (John 11:21)
- (12) Ra bakovi iea, ge ia a bakovi kamumu, i uka ma mi gi
 DEF man DEM IRR.3S 3S ART man good, 3S NEG NEG 1PL.EX IRR
 tuli vili-a vomu.
 bring come-3S 2S.OBL

'That man, if he was a good man, we would not have brought him to you.' (John 18:30)

5.4 Counterfactuals in Mauwake

Mauwake, Papuan (Trans-New Guinea; Madang), Madang Province (Berghäll 2015)

Verbal suffix -ek marks counterfactuals, always in combination with the past tense suffix -a, even if the reference is to the present or the future.

- (13) Yena aamun aakisa uruf-ek-a-m=na kemel-ek-a-m.

 1S.GEN younger.brother now see-CNTF-PAST-1S=TOP rejoice-CNTF-PAST-1S

 'If I saw my younger brother now, I would be happy.'
- (14) Lawiliw akena waki-ek-a-m.
 nearly very fall-CNTF-PAST-1S
 'I very nearly fell.'

6. Summary and recommendations

Counterfactuals are well-studied, but under-researched in PNG (frequently absent in grammars, no areal typology).

General typology (see also Qian 2016):

- a. modal auxiliaries (English, Tok Pisin)
- b. modal particles (Bola, many Oceanic languages, NT Greek)
- c. verbal suffixes / special verb forms (many Trans-New Guinea languages)
- d. special conjunctions (Hebrew lule 'if not')
- e. no marking. Context will differentiate between *If he does not come...* and *If he had not come...*

6. Summary and recommendations

Recommendations for field workers:

- Collect a natural body of counterfactuals (from texts and conversations)
- Elicit examples through creating hypothetical scenarios:
 - If I was 20 years younger, I would be able to climb that coconut tree.
 - If people were to live on the moon, what would they eat?
- Check translated material for naturalness.
- Devote a separate section in a descriptive grammar to this topic.

Conclusion

If more people studied counterfactuals, would the world be a better place?

If more people studied counterfactuals, we would know more about language and about ourselves.

Thanks to Malinda Ginmaule for helping with Tok Pisin.

References

For an overview of the literature on philosophical and semantic approaches to counterfactuals, visit https://plato.stanford.edu/entries/counterfactuals/

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